

METALPRODEX

Application for Admission to the Trading Platform

2016-10-01

The English version is for informal use only. Only the German version is legally binding.

Table of Contents

Section 1: Company General Information 3

Section 2: Balance Sheet..... 7

Section 3: Electronic Trading Platform..... 7

Section 4: Products 8

Section 5: Documentation 10

Section 6: Declaration 11

When the Participant Application Form is completed and signed, this form needs to be returned to METALPRODEX GmbH, **Magdeburger Straße 23, 06112 Halle, Germany**. Alternatively, a scanned Participant Application Form can be sent to: **support@metalprodex.com**

Company Name:

Postal Address:

.....

.....

Contact Person:

Position:

Telephone: Fax:

Email: Website

Contact Person for technical issues:

Position:

Telephone: Fax:

Email:

Section 1: Company General Information

1.1 Head Office:

.....

.....

Address:

.....

.....

Telephone: Fax:

Email: Website

1.2 Main Contacts (Position, Name, Telephone, Email):

.....

.....
.....
.....
.....

1.3 General information about the company:

Legal Status:

Established in

Registered at:

Incorporation ID:

Do you belong to a global enterprise? Yes [] No []

If 'Yes', please name the group:

Does your company have any subsidiaries? Yes [] No []

If 'Yes', please indicate their Names, Addresses and percentage of owned capital:
.....
.....
.....
.....

(continue on separate sheet if necessary)

Partnerships with other companies: Yes [] No []

If 'Yes', please specify:

Main Partners/ Stockholders:

(continue on separate sheet if necessary)

Does the company plan any possible modifications in the legal status or affiliations (planned merger, owner change):

Yes [] No []

If 'Yes', please give details:

.....

.....

.....

(continue on separate sheet if necessary)

1.4 Company Staff

Number of Partners/Directors:

Number of Executives or Management:

Number of Supervisors or Technicians:

Number of Workers or Employees:

Total Number of staff:

1.5 Company Role

Trader	Yes []	No []
Producer	Yes []	No []
Final Consumer	Yes []	No []
Market Analyst	Yes []	No []
Other	Yes []	No []

If you are a Metal Producer/ Consumer, please specify your quality standards:

European Standard (EN)	Yes []	No []
International Organization for Standardization (ISO)	Yes []	No []
Guobiao Standard (GB)	Yes []	No []
ASTM International	Yes []	No []
Russian Standard (GOST)	Yes []	No []
Other	Yes []	No []

.....

1.6 Do you belong to the following industry?

Automotive	Yes []	No []
Aviation	Yes []	No []
Battery	Yes []	No []
Construction	Yes []	No []
Defence/Military	Yes []	No []
Electrical	Yes []	No []
Engineering	Yes []	No []
Food	Yes []	No []
Mining	Yes []	No []
Packaging	Yes []	No []
Metal Trading	Yes []	No []
Others	Yes []	No []

.....

1.7 What is the main product range of your company? Please give details:

.....

(continue on separate sheet if necessary)

1.8 Please give at least three recommendations from business partner firms (name, position, phone number, email):

- (1)
- (2)
- (3)

(continue on separate sheet if necessary)

1.9 VAT identification number:

1.10 **Please submit** a copy of the most recent excerpt from the company’s register certified by court or by notary

Section 2: Balance Sheet

PLEASE SUBMIT the statutory report and accounts of your company for the last two fiscal years.

Section 3: Electronic Trading Platform

3.1 What account do you apply for?

- (A) Live Trading Yes [] No []
If ‘Yes’, for how many users?
- (B) Demo Trading Yes [] No []
If ‘Yes’, for how many users?

3.2 Are you interested to use:

- (A) Trading Wall as a Trade Observer Yes [] No []
If ‘Yes’, for how many users?
- (B) Trading Area as a Trader Yes [] No []
If ‘Yes’, for how many users?

3.3 Are you interested to use ‘Market Depth’ option?

Yes [] No []

If ‘Yes’, please specify which exactly option and for how many users will be used:

- (A) Up to two further Sell & Buy Offers Yes [] No []
If ‘Yes’, for how many users?
- (B) Up to five further Sell & Buy Offers Yes [] No []
If ‘Yes’, for how many users?
- (C) Up to nine further Sell & Buy Offers Yes [] No []
If ‘Yes’, for how many users?

3.4 Primary Trading Locations:

- (A) Rotterdam Yes [] No []

(C) Szczecin	Yes []	No []
(D) Istanbul	Yes []	No []
(E) Barcelona	Yes []	No []
(F) Genoa	Yes []	No []
(G) Trieste	Yes []	No []
(H) Hamburg	Yes []	No []

3.5 Please specify how many user accounts your company is applying for, the employee's names, positions, home addresses, telephone numbers and emails:

.....

(continue on separate sheet if necessary)

3.6 **PLEASE SUBMIT** for each user account:

- A confirmation about the authorised officer's status (excerpt from the company's register) or power of attorney with a determined trade limit and a signature of at least two Directors/ Partners who are deemed to be persons authorised to sign on behalf of the company;
- A criminal record certificate.

Section 4: Products

Please specify the products and expected volumes your company would like to trade on METALPRODEX Platform:

(1) Aluminium Ingots 99.7 (AL17) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(2) Aluminium T-bars 99.7 (ALT7) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(3) Aluminium Sows 99.7 (ALS7) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(4) Aluminium Ingots 99.8 (AL18) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(5) Aluminium T-bars 99.8 (ALT8) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(6) Aluminium Sows 99.8 (ALS8) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(7) Aluminium Ingots 99.85 (ALI85) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(8) Aluminium T-bars 99.85 (ALT85) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(9) Aluminium Sows 99.85 (ALS85) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(10) Copper Cathodes 1 (CUC1) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(11) Lead Ingots 985 (PB985) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(12) Zinc Ingots High Grade (ZNI-HG) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(13) Zinc Ingots Special High Grade (ZNI-SHG) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(14) Aluminium Billets 6" 6060 (ALB6-60) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(15) Aluminium Billets 6" 6063 (ALB6-63) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(16) Aluminium Billets 7" 6060 (ALB7-60) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(17) Aluminium Billets 7" 6063 (ALB7-63) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(18) Aluminium Billets 8" 6060 (ALB8-60) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(19) Aluminium Billets 8" 6063 (ALB8-63) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

(20) Aluminium Wire Rod 9.5 H11 (ALWR9.5H11) Yes [] No []

If 'Yes', please give details on expected volume in metric tons:

Section 5: Documentation

By signing this document you accept that:

All relevant documents are to be in the English language (except customers based in Germany), or must be accompanied by certified English translations.

Section 6: Declaration

We hereby warrant that all statements and particulars made after diligent inquiry are true and that we have not suppressed or misstated any material facts. We hereby confirm that, upon being granted registration and approval, we comply with the METALPRODEX established and published Fee Structure and all procedures determined in Terms and Conditions, as well as documents referred therein.

During the registration process, METALPRODEX und the warehouse will exchange data that was provided by the applicant. This data exchange is solely for the purpose of listing procedures on the platform. The applicant agrees to this form of data exchange.

Signature of Director/Partner, who is deemed to be a person authorised to sign on behalf of the company.

Name

Signature

Date:

The information contained in this document is strictly confidential and is intended for the addressee only.

REMINDER TO PLEASE SUBMIT:

1. A copy of the most recent excerpt from the company’s register certified by court or by notary;
2. The statutory report and accounts of your company for the last two fiscal years;
3. For each user: a confirmation about the authorised officer’s status (excerpt from the company’s register) or power of attorney with a determined trade limit and a signature of at least two Directors/ Partners who are deemed to be persons authorised to sign on behalf of the company;
4. For each user: a criminal record certificate.